

The logo for UMass Amherst, featuring the text "UMassAmherst" in a serif font. The "U" and "A" are in a dark red color, while "Mass" and "herst" are in a dark blue color. A vertical line is positioned to the right of the text.

UMassAmherst

Manning College of Information
& Computer Sciences

RL³: Boosting Meta Reinforcement Learning via RL inside RL²

Abhinav Bhatia, Samer B. Nashed, Shlomo Zilberstein

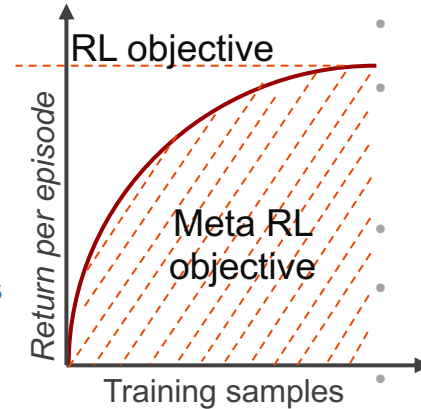
December 16, 2023

Overview

An RL algorithm: a mapping from experience data to actions.

Classic RL

- Given: an MDP
- Objective: learn a *state-to-action mapping* to maximize *cumulative reward per episode*.
- Output: “Policy”
- Classic RL involves *value functions* to distill data.
- Classic RL Pros & cons:
 - Data inefficient
 - General
 - Asymptotically optimal



Meta RL


- Given: a *distribution* of MDPs
- Objective: learn a *data-to-action mapping* to maximize *cumulative reward over entire interaction*.
- “Meta-RL policy” or “Learned RL”
- Learned RL involves a *data-sequence model* like an RNN.
- Learned RL Pros & cons:
 - Data-efficient (minimizes regret)
 - Poor OOD generalization
 - Poor long-context reasoning

RL³: Injects classic RL into Learned RL: Aids RNN with action-value estimates.

Meta Reinforcement Learning

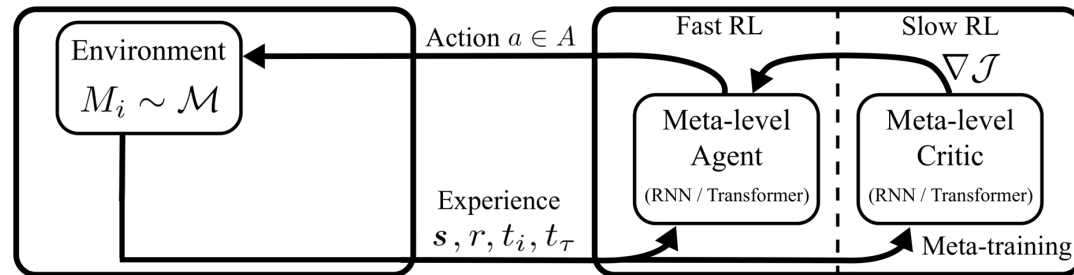
- Objective: Learn a data-to-action mapping to maximizes cumulative reward

$$\mathcal{J}(\theta) = \mathbb{E}_{M_i \sim \mathcal{M}} \left[\sum_{t=0}^H \gamma^t \mathbb{E}_{(s_t, a_t) \sim \rho_i^{\pi_\theta}} [R_i(s_t, a_t)] \right]$$

- As a meta-level Markov decision process:
 - Each **meta-episode**: **sample a new MDP**, or “task”, play for H interactions.
 - Optimal meta policy maximizes cumulative reward. 
 - Dynamics different across meta-episodes?
 - **POMDP** where **hidden variable is the task identity**. Also called **BAMDP**.
 - Beliefs over tasks capture history sufficiently.

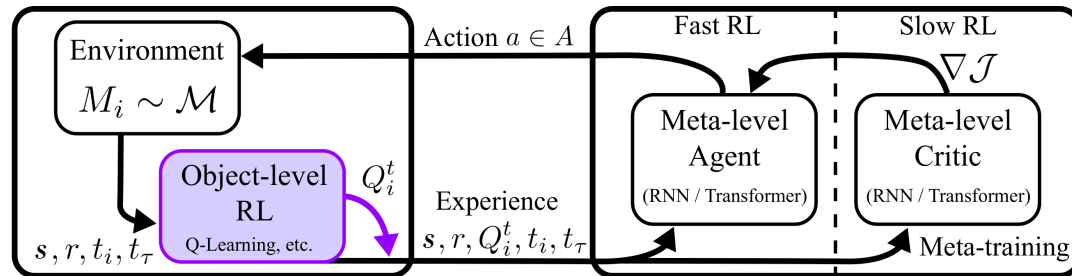
RL²: Fast RL using Slow RL (Duan et al. 2016)

- Meta-RL policy directly maps raw-data to actions using an RNN.
- Trained with standard “slow” deep RL.
- Note: Some approaches map data-to-beliefs first e.g., VeriBAD (Zintgraf et al., 2019)



RL³: Inject RL into RL²

- Insert RL subroutine: **estimate Q^* -values** e.g., use Q-learning.
- **Provide to meta-RL**. Provide action-counts too.
- Meta-RL decides how to use.

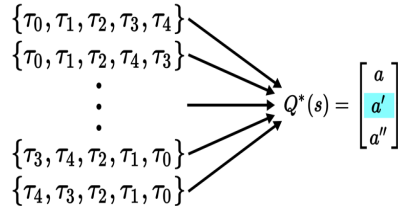


RL³: Inject RL into RL²: But Why?

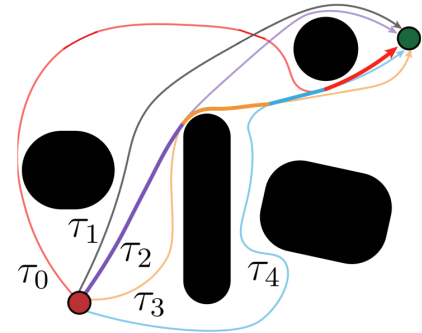
Q-injection  to improve OOD generalization and long-context reasoning?

ep-greedy uct
 exploration count-based
 curiosity-driven ucb
 sac
 boltzman dqn
 ddpq

Inherent generality:
 Key component in
 general-purpose RL



Summarization: Many-to-one
 mapping. Order is irrelevant.
 Lossy, but “remembers” key
 details



Actionability: optimal policy
 given data.
 Can ignore history, just exploit

Bottom line: Over time, data overwhelming, Q-estimates become more useful.

RL³: Inject RL into RL²: But Why?

Additional Reasons

Excellent task discriminators:

Rare for MDPs to have same Q-value function

Sufficient for Bayes optimal beliefs? Sometimes, yes.

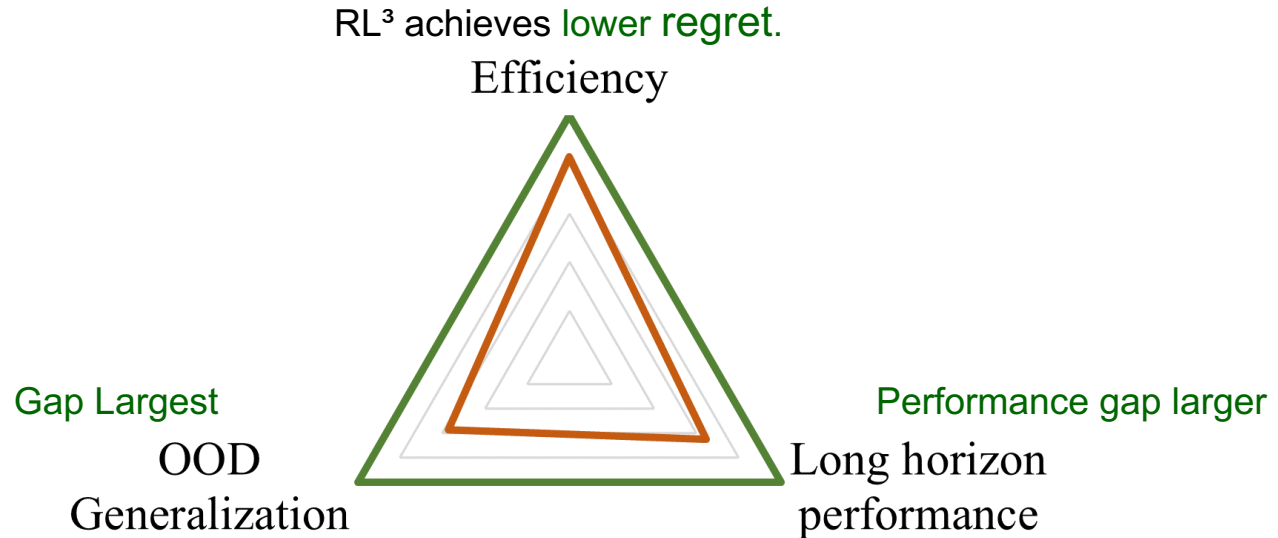
For Bernoulli MAB, RL3 works without history.

Related to meta-value function:

The Q* term appears in the meta-V* equation

$$\bar{V}^t(\bar{b}) = \arg \max_{a \in A} \left[\sum_{M_i \in \mathcal{M}} \bar{b}(i) R_i(s, a) + \gamma \sum_{\bar{\omega} \in \bar{\Omega}} \bar{O}(\bar{\omega} | \bar{b}, a) \sum_{M_i \in \mathcal{M}} \bar{b}'(i) \sum_{s' \in \mathcal{S}} T_i(s, a, s') (Q_i^t(s') + \varepsilon_i(\tau)) \right]$$

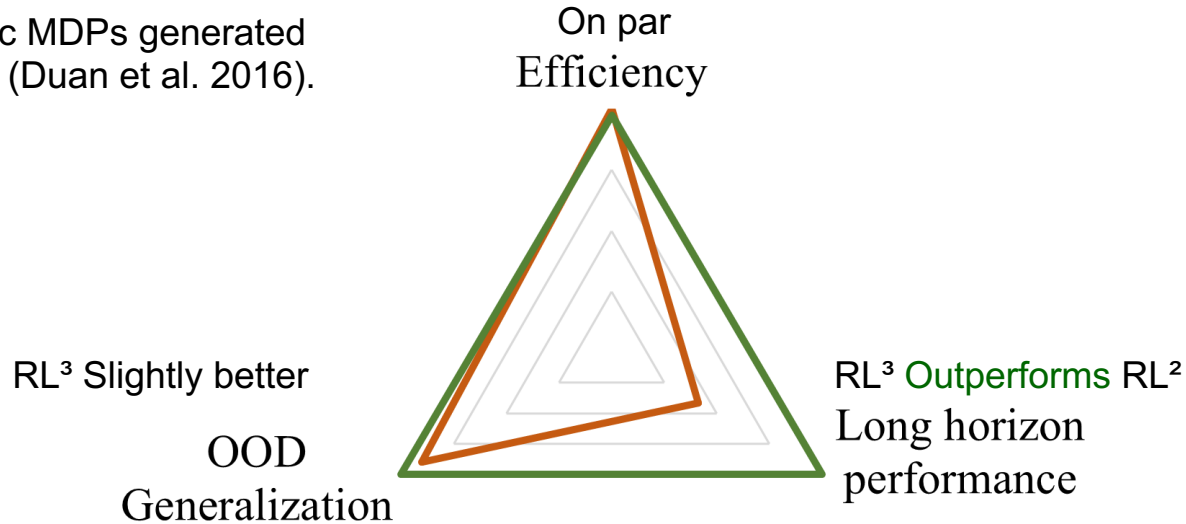
RL³ vs RL² - Gridworld Results



RL³ with state-abstractions: *RL³-coarse*: 2x fast, 90% of RL³.

RL³ vs RL² - Random MDPs Results

- Stochastic MDPs generated randomly (Duan et al. 2016).



Conclusion

- We introduced RL³, aiming to combine best of RL and RL² – to achieve good efficiency (minimize regret), better long-term reasoning, and better OOD generalization.
- Intuitions: Universality, summarization, actionability and with helps task identification. With time, data gets overwhelming, Q-estimates useful, almost sufficient.
- Key experimental takeaways:
 - RL³ retains (and sometime improves) efficiency of RL² on all domains
 - RL³ benefits with increase with horizon, distribution shift, and determinism
 - Injected Q-values can be imprecise, and still be useful.
- Future: extend this to continuous action space setting!